## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Mutsuo OGURA, et al.

AP20 Rec'd PGT/PTO 14 DEC 2005

SERIAL NO:

New US PCT application based on PCT/JP01/08535

FILED:

FOR:

Herewith

EXAMINER:

NEGATIVE-RESISTANCE FIELD-EFFECT DEVICE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

22850

Tel. (703) 413-3000 Fax. (703) 413-2220

Customer Number

(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423



14 DEC 2005

Form PTO 1449 (Modified)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			ATTY DOCKET NO.		New OS PCT application based on PCT/JP01/08535			
(III.CC)				241082US2X PCT					
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT					
				Mutsuo OGURA, et al.					
				FILING DATE		GROUP			
		<u>.</u>		Herewith					
				U.S. PATENT DOCUMENTS	1				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS			
	AA								
	AB								
	AC								
	AD								
	AE		ļ						
	AF				<u> </u>				
	AG								
	AH								
	Al								
	AJ								
	AK				ļ				
	AL				-				
	AM				ļ				
	AN	<u> </u>			<u> </u>				
		_	FO	REIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO			
	AO	7-38078	02/07/95	JP				No	
	AP	7-321411	12/08/95	JP				No	
	AQ		<del>                                     </del>						
	AR								
	AS								
		OTHER R	EFERENCES (	Including Author, Title, Date, Pertinen	t Pages, e	tc.)			
APPLIED PHYSICS LETTERS, vol. 78, no. 1, pages 76-78									
	AT	T 01/01/01  DENSHI JOHO TSUSHIN GAKKAI GIJUTSU KENKYU HOKOKU, CPM2000-108-116, vol. 100, no. 372, pages 19-24  J 10/20/00  APPLIED PHYSICS LETTERS, vol. 77, no. 3, pages 441-443							
	ΑU								
	AV								
	AW,	JAPANESE JOURNAL OF APPLIED PHYSICS, vol. 39, part 1, no. 11, pages 6152-6156 11/00							
		2001 INTERNATIONAL CONFERENCE ON INDIUM PHOSPHIDE AND							
	AX	RELATED MATERIAL   517-520, 05/14/01-05/	.3 CONFEREN /18/01	CONFERENCE PROCEEDINGS 13" IPRM, pages Additional References sheet(s) attached					
Examiner						Date Considered			
*Examiner: In conformance	itial if r	reference is considered, ot considered. Include c	whether or no opy of this form	t citation is in conformance with MPEP 60 with next communication to applicant.	09; Draw li	ne through	citation i	if not in	